

Plan International Counter Fraud Report FY21- Quarter 1							
Date	Location	Allegation	Resolution	Initial Loss (EUR)	Funds Recovered (EUR)	Net Loss (EUR)	Lessons Learned and Actions
Nov-19	Asia	A staff member was alleged to be taking bribes from community members so they would be selected as volunteers.	The allegation was not substantiated.	0	0	0	Some programmatic weaknesses were identified and remediated.
Mar-20	East Africa	A staff member was alleged to be soliciting funds from beneficiaries.	The allegation was substantiated, the staff member was disciplined and the funds were returned.	193	193	0	No further action was taken.
Mar-20	Americas	A partner organisation was purchasing second-hand IT equipment but charging Plan International the full price of new equipment.	The partner organisation dismissed the responsible staff members and returned the funds.	27,905	27,905	0	Reforms were put in place.
Feb-20	Americas	Irregularities were suspected in fundraising relating to warehouse sales.	Fraud and theft were substantiated. The staff members involved were dismissed and referred to the police.	46,951	46,951	0	No further action was taken.
Mar-20	East Africa	Irregularities were suspected in a procurement process.	The irregularities were substantiated but there was no financial loss.	0	0	0	Staff members involved were disciplined and 2 suppliers were blacklisted.
not specified	Asia	An anonymous allegation was made of corruption in the awarding of contracts.	The reporter did not back up their claims and the case was not investigated further.	0	0	0	No further action was taken.

May-20	East Africa	Staff members were alleged to be embezzling funds through the fraudulent issue of fuel coupons.	The allegations were substantiated. One staff member was dismissed for fraud and another was disciplined for negligence.	414	0	414	No further action was taken.
May-20	Americas	An anonymous allegation was made of expenses fraud in relation to a celebratory dinner for staff members.	No fraud was identified.	0	0	0	Process weaknesses were identified and remediated.
May-20	Americas	A staff member was suspected of retaining per diem payments meant for beneficiaries.	The allegations were substantiated and the staff member was dismissed.	21	0	21	No further action was taken.
Mar-20	Asia	A partner organisation failed to follow correct procurement procedures leading to a suspicion of fraud.	No fraud was identified.	0	0	0	The partner's staff were given a warning and remediation measures were introduced.
Jun-20	Asia	A partner organisation's staff member was suspected of extorting bribes from beneficiaries.	The allegations were substantiated and the staff member was dismissed. The cash was recovered and paid back to the beneficiaries.	90	90	0	No further action was taken.
Jul-20	East Africa	Several applicants for jobs with Plan International had their CVs removed from an HR email account, potentially to favour other candidates.	An investigation was inconclusive. The suspected staff member left Plan International prior to the investigation.	0	0	0	Controls were put in place.

Jul-20	Asia	An inflated expense claim was submitted by a partner organisation's staff member.	The staff member in question was disciplined and the loss was repaid.	324	324	0	No further action was taken.
Jul-19	East Africa	A local external audit identified potential misappropriation by a partner organisation.	No fraud was identified but some expenditures were disallowed.	3,484	3,484	0	The funds were repaid and controls were remediated.
Various	Americas	Eight historical incidents going back 5 years were identified that were dealt with locally but had not been centrally reported at the time.	Local investigations identified inflation of transport expenses by an implementing partner, misuse of a Plan International vehicle for personal business, retention of cash payments due to beneficiaries, retention of items due to beneficiaries and a local official charging fees to potential beneficiaries to be enrolled in Plan International projects. Allegations not proven were falsification of asset lost reports by a partner, a suspected case of double invoicing and falsification of sponsorship communications.	4,516	2,285	2,231	One partnership was terminated, 2 staff members were dismissed, one staff resigned prior to investigation and losses were partially recovered.

Jul-20	Middle East	A partner organisation's case workers were suspected of retaining cash payments intended for beneficiaries.	Fraud was not substantiated.	0	0	0	Improved controls were put in place.
Aug-20	West Africa	A departing staff member was alleged to have evaded tax in the sale of a vehicle.	No fraud was identified and the tax issue was resolved with the revenue authority.	0	0	0	No further action was taken.
Jul-20	Americas	A staff member falsified documents relating to a payment request.	Forgery was confirmed but no financial gain or loss was involved.	0	0	0	The staff member was disciplined.
Aug-20	Asia	An anonymous allegation was made that a Plan International staff member was embezzling funds.	The allegation was not substantiated.	0	0	0	No further action was taken.
Aug-20	Middle East	Genuine Plan International vacancies were being posted on a fraudster's website who extracted fees from applicants.	The matter was reported to the authorities.	0	0	0	No further action was taken.
Aug-20	West Africa	An anonymous allegation was made that fraudulent staff salary adjustments resulted in a tax bill for the organisation.	The allegation was not substantiated.	0	0	0	No further action was taken.
Aug-20	West Africa	A potential beneficiary paid a third party fraudster for enrolment in a Plan International scholarship programme.	The case was reported to the authorities and a fraud alert was circulated.	0	0	0	No further action was taken.

Jul-20	Asia	A Plan International volunteer extorted bribes from beneficiaries.	The volunteer was removed from the organisation.	13	13	0	No further action was taken.
Sep-20	Asia	An anonymous allegation was made of corruption in the selection of a partner organisation.	The allegation was not substantiated.	0	0	0	No further action was taken.